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## STABLE STRUCTURES ON MANIFOLDS: I HOMEOMORPHISMS OF $S^n$

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### 1. Introduction

If  $S$  is a locally flat  $n - 1$  sphere in the  $n$ -sphere  $S^n$ , then it is proved in [1] and [2] that the closures of the complementary domains of  $S$  are closed  $n$ -cells. On the other hand, if  $S_1$  and  $S_2$  are disjoint locally flat  $n - 1$  spheres in  $S^n$ , it is suspected but not known that the closure of the region between them is homeomorphic to  $S^{n-1} \times [0, 1]$ . The positive solution of this *annulus conjecture* would provide a powerful tool for the study of topological manifolds.

In this series of papers a machinery is constructed which permits the solution of some problems previously thought to be dependent on the annulus conjecture. The first paper considers the homeomorphisms of the  $n$ -sphere, and sets the stage for the development of the machinery in the following paper.

### 2. Definitions

The set of points  $\{(x_1, \dots, x_{n+1}) : \sum x_i^2 = 1\}$  in euclidean  $n + 1$  space  $R^{n+1}$  will be denoted by  $S^n$ .  $S^n$  and any space homeomorphic to  $S^n$  will be called an  $n$ -sphere. Identifying  $R^n$  with  $R^n \times 0 \subset R^{n+1}$  permits us to think of  $S^{n-1}$  as a subset of  $S^n$ , and write  $S^{n-1} \subset S^n$ .

$H(S^n)$  will denote the group of homeomorphisms of  $S^n$ , and  $H^+(S^n)$  the subgroup of orientation preserving homeomorphisms. If  $A$  is a subset of  $S^n$ ,  $H(S^n, A)$  will denote the group of homeomorphisms of  $S^n$  which carry  $A$  onto itself.

An  $n - 1$  manifold  $M^{n-1}$  in an  $n$ -manifold  $M^n$  will be called *locally flat* if each point of  $M^{n-1}$  has a neighborhood  $U$  in  $M^n$  such that the pair  $(U, U \cap M^{n-1})$  is topologically equivalent to  $(R^n, R^{n-1})$ . It is shown in [2] that a locally flat closed submanifold  $M^{n-1}$  which is two-sided in  $M^n$  has a neighborhood in  $M^n$  homeomorphic to  $M^{n-1} \times [-1, 1]$ , in which  $M^{n-1}$  appears as  $M^{n-1} \times 0$ . An embedding  $f: M^{n-1} \rightarrow M^n$  is called locally flat

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if  $f(M^{n-1})$  is locally flat in  $M^n$ .

The set of all locally flat embeddings  $f: S^{n-1} \rightarrow S^n$  will be denoted by  $\text{Hom}(S^{n-1}, S^n)$ . Recalling that  $S^{n-1} \subset S^n$ , we have the following relations between the elements of  $H(S^n)$  and  $\text{Hom}(S^{n-1}, S^n)$ .

(i) If  $h \in H(S^n)$ , then  $h/S^{n-1} \in \text{Hom}(S^{n-1}, S^n)$ .

(ii) If  $f \in \text{Hom}(S^{n-1}, S^n)$ , there is an  $h \in H(S^n)$  such that  $h/S^{n-1} = f$ , according to [1] and [2].

(iii) If  $f \in \text{Hom}(S^{n-1}, S^n)$  and  $h \in H(S^n)$ , then  $hf \in \text{Hom}(S^{n-1}, S^n)$ , and this action of  $H(S^n)$  on  $\text{Hom}(S^{n-1}, S^n)$  is transitive by [1] and [2].

### 3. Annular equivalence of embeddings of $S^{n-1}$ in $S^n$

The paper will proceed by first studying  $\text{Hom}(S^{n-1}, S^n)$  and then using the information obtained to study  $H(S^n)$ .

Let  $f_0$  and  $f_1$  be elements of  $\text{Hom}(S^{n-1}, S^n)$ . If there is an *embedding*  $F: S^{n-1} \times [0, 1] \rightarrow S^n$  such that, for all  $x \in S^{n-1}$ ,  $F(x, 0) = f_0(x)$  and  $F(x, 1) = f_1(x)$ , then  $F$  will be called a *strict annular equivalence* between  $f_0$  and  $f_1$ , and we write

$$f_0 \sim_{\mathcal{A}} f_1.$$

Strict annular equivalence is not an equivalence relation, so we define annular equivalence as follows. Elements  $f$  and  $f'$  of  $\text{Hom}(S^{n-1}, S^n)$  will be called *annularly equivalent*, written

$$f \sim_{\mathcal{A}} f',$$

if there is a finite sequence of elements  $f = f_0, f_1, \dots, f_k = f'$  of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f_i \sim_{\mathcal{A}} f_{i+1}$ . Strict annular equivalence is symmetric, hence so is annular equivalence. Annular equivalence is by construction transitive. Since a locally flat  $n - 1$  sphere in  $S^n$  has a neighborhood homeomorphic to  $S^{n-1} \times [-1, 1]$ , annular equivalence is reflexive. Hence annular equivalence is an equivalence relation.

**LEMMA 3.1.** *Let  $f$  be an element of  $\text{Hom}(S^{n-1}, S^n)$  and  $U$  an open set in  $S^n$ . Then there is an element  $f'$  of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f'(S^{n-1}) \subset U$  and  $f \sim_{\mathcal{A}} f'$ .*

If  $C$  and  $D$  are the complementary domains of  $f(S^{n-1})$  in  $S^n$ , then their closures,  $\bar{C}$  and  $\bar{D}$ , are closed  $n$ -cells.  $U$  must meet either  $C$  or  $D$ , say  $C$ . Let  $F$  be a homeomorphism of the unit  $n$ -cell in  $R^n$  onto  $\bar{C}$ . It is evident that  $F$  may be chosen so that

(i)  $F/S^{n-1} = f$ .

(ii)  $F(\text{origin})$  lies in  $U \cap C$ .

Then there is a  $t > 0$  such that the image under  $F$  of the  $n - 1$  sphere

$S_t$  of radius  $t$  and center at the origin lies in  $U$ . If  $p$  is the radial projection of  $S^{n-1}$  onto  $S_t$ , then  $f' = Fp$  satisfies the required conditions.

Let  $f$  and  $f'$  be elements of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f(S^{n-1})$  and  $f'(S^{n-1})$  are disjoint, and let  $R$  be the closed region of  $S^n$  between these image spheres. Choose an orientation for  $S^{n-1}$  and consider the induced orientations on  $f(S^{n-1})$  and  $f'(S^{n-1})$ . If it is possible to orient  $R$  so that its boundary is  $f(S^{n-1}) - f'(S^{n-1})$ , we will say that  $f$  and  $f'$  have *similar orientations*. This is clearly independent of the original orientation chosen for  $S^{n-1}$ . If  $f \sim_{\mathcal{A}} f'$ , for example, then  $f$  and  $f'$  must have similar orientations. If  $f$  and  $f'$  do not have similar orientations, then they have *opposite orientations*.

It seems natural to inquire how much the relation of annular equivalence generalizes that of strict annular equivalence. Suppose, for example, that  $f$  and  $f'$  are annularly equivalent embeddings of  $S^{n-1}$  in  $S^n$  which look like they might be related by a strict annular equivalence (i.e., their images are disjoint, and they have similar orientations). Are they so related? The remainder of this section considers such questions.

**LEMMA 3.2.** *Let  $f_1 \sim_{\mathcal{A}} f_2 \sim_{\mathcal{A}} f_3$  be disjoint embeddings such that one of the image spheres separates the other two. Then  $f_1 \sim_{\mathcal{A}} f_3$ .*

First suppose that  $f_3(S^{n-1})$  separates  $f_1(S^{n-1})$  from  $f_2(S^{n-1})$ . Let  $F_{12}$  be the strict annular equivalence of  $f_1$  with  $f_2$  and  $F_{23}$  the strict annular equivalence of  $f_2$  with  $f_3$ . Define  $F_{13}: S^{n-1} \times [0, 1] \rightarrow S^n$  by

$$\begin{aligned} F_{13}(x, t) &= F_{12}(x, 2t) && \text{for } 0 \leq t \leq 1/2 \\ &= F_{23}(x, 2t - 1) && \text{for } 1/2 \leq t \leq 1. \end{aligned}$$

Then  $F_{13}$  is a strict annular equivalence of  $f_1$  with  $f_3$ .

Next assume that  $f_3(S^{n-1})$  separates  $f_1(S^{n-1})$  from  $f_2(S^{n-1})$ , and that  $F_{12}$  and  $F_{23}$  are the given strict annular equivalences. The locally flat spheres  $f_2(S^{n-1})$  and  $f_3(S^{n-1})$  have neighborhoods in  $S^n$  homeomorphic to their product with an interval. Let  $E: S^{n-1} \times [-1, 0] \rightarrow S^n$  be an embedding such that  $E(x, 0) = f_2(x)$ , and such that  $E(S^{n-1} \times -1)$  is separated from  $f_3(S^{n-1})$  by  $f_2(S^{n-1})$ . Let  $G: S^{n-1} \times [1, 2] \rightarrow S^n$  be an embedding such that  $G(x, 1) = f_3(x)$  and  $G(S^{n-1} \times 2)$  lies between  $f_3(S^{n-1})$  and  $f_1(S^{n-1})$ . Then define  $F^*: S^{n-1} \times [-1, 2] \rightarrow S^n$  by putting  $E$ ,  $F_{23}$  and  $G$  together. Using  $F^*$ , define a homeomorphism  $h$  of  $S^n$  which is the identity on  $S^n - \text{Image}(F^*)$  and which takes  $F^*(x, 0)$  onto  $F^*(x, 1)$ . Then  $F_{13} = hF_{12}$  is a homeomorphism of  $S^{n-1} \times [0, 1]$  into  $S^n$ .

$$F_{13}(x, 0) = hF_{12}(x, 0) = hf_1(x) = f_1(x),$$

since  $f_1(S^{n-1})$  lies in  $S^n - \text{Image}(F^*)$ . And

$$\begin{aligned} F_{13}(x, 1) &= hF_{12}(x, 1) = hf_2(x) = hF_{23}(x, 0) = hF^*(x, 0) \\ &= F^*(x, 1) = F_{23}(x, 1) = f_3(x). \end{aligned}$$

Hence  $F_{13}$  is a strict annular equivalence between  $f_1$  and  $f_3$ .

Proceed as above if  $f_1(S^{n-1})$  is the separating sphere.

REMARK. If  $f_1 \frown f_2 \frown f_3$  are disjoint embeddings, none of whose images separate the other two, then one can not possibly have  $f_1 \frown f_3$  because  $f_1$  and  $f_3$  will have opposite orientations.

LEMMA 3.3. *Let  $f_1 \frown f_2 \frown f_3 \frown f_4$ , with all images disjoint and no image sphere separating the remaining image spheres. Then  $f_1 \frown f_4$ .*

Each  $f_i(S^{n-1})$  bounds a closed  $n$ -cell in  $S^n$  disjoint from the three other similar  $n$ -cells. Hence there is a homeomorphism  $h$  of  $S^n$  such that  $hf_i(S^{n-1})$  is small for each  $i$ . Using Lemma 3.1 and the smallness of the  $hf_i(S^{n-1})$ , we can find an element  $g \in \text{Hom}(S^{n-1}, S^n)$  such that  $g \frown hf_2$  and such that  $g(S^{n-1})$  contains  $hf_1(S^{n-1})$  and  $hf_3(S^{n-1})$  in one complementary domain and  $hf_2(S^{n-1})$  and  $hf_4(S^{n-1})$  in the other. Then Lemma 3.2 is used to show that

- (i)  $hf_1 \frown hf_2 \frown g$  implies  $hf_1 \frown g$ ,
- (ii)  $g \frown hf_2 \frown hf_3$  implies  $g \frown hf_3$ ,
- (iii)  $g \frown hf_3 \frown hf_4$  implies  $g \frown hf_4$ ,
- (iv)  $hf_1 \frown g \frown hf_4$  implies  $hf_1 \frown hf_4$ .

But  $hf_1 \frown hf_4$  implies  $f_1 \frown f_4$ .

LEMMA 3.4. *Let  $f_1 \frown f_2 \frown f_3 \frown f_4$  such that*

- (i) *all images are disjoint.*
  - (ii)  *$f_1(S^{n-1})$  contains  $f_2(S^{n-1})$  and  $f_3(S^{n-1})$  in one complementary domain and  $f_4(S^{n-1})$  in the other.*
  - (iii) *no other image sphere separates the remaining image spheres.*
- Then there is a  $g \in \text{Hom}(S^{n-1}, S^n)$  such that  $g(S^{n-1})$  is a small sphere in the region between  $f_1(S^{n-1})$  and  $f_4(S^{n-1})$  and such that  $f_1 \frown g \frown f_4$ .*

Let  $g$  be chosen so that  $g(S^{n-1})$  is a small sphere in the region between  $f_1(S^{n-1})$  and  $f_4(S^{n-1})$  and such that  $g \frown f_1$ , according to Lemma 3.1. Then  $f_1(S^{n-1})$  separates  $g(S^{n-1})$  from  $f_2(S^{n-1})$  and  $g \frown f_1 \frown f_2$ . Hence by Lemma 3.2,  $g \frown f_2$ . But  $g, f_2, f_3, f_4$  satisfy the hypothesis of Lemma 3.3. Hence  $g \frown f_4$ , as desired. Note that  $f_1$  and  $f_4$  have opposite orientations and therefore can not possibly be related by a strict annular equivalence.

Lemmas 3.2, 3.3 and 3.4 provide the tools for the proof of the following theorem, which gives the relation between annular equivalence and strict annular equivalence.

THEOREM 3.5. *Let  $f$  and  $f'$  be elements of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f \frown f'$ .*

(i) If  $f$  and  $f'$  have disjoint images and similar orientations, then  $f \sim_{\mathcal{A}} f'$ .

(ii) There is a  $g \in \text{Hom}(S^{n-1}, S^n)$  such that  $f \sim_{\mathcal{A}} g \sim_{\mathcal{A}} f'$ , except in the case that  $f(S^{n-1}) = f'(S^{n-1})$  and  $f^{-1}f'$  is orientation reversing.

(iii) In this exceptional case, there are elements  $g, g' \in \text{Hom}(S^{n-1}, S^n)$  such that  $f \sim_{\mathcal{A}} g \sim_{\mathcal{A}} g' \sim_{\mathcal{A}} f'$ .

PROOF OF (i). Let  $f = f_0 \sim_{\mathcal{A}} f_1 \sim_{\mathcal{A}} \dots \sim_{\mathcal{A}} f_k \sim_{\mathcal{A}} f_{k+1} = f'$ . Assume that for no  $j$  does  $f_j(S^{n-1})$  separate  $f_{j-1}(S^{n-1})$  from  $f_{j+1}(S^{n-1})$ ; otherwise, by Lemma 3.2 we could drop  $f_j$  from the chain and write  $f_{j-1} \sim_{\mathcal{A}} f_{j+1}$ . Then for each  $j = 1, \dots, k$ ,  $f_j(S^{n-1})$  has a distinguished complementary domain  $D_j$  which contains neither  $f_{j-1}(S^{n-1})$  nor  $f_{j+1}(S^{n-1})$ . For each  $j = 1, \dots, k$ , let  $U_j$  be a small open set in  $D_j$ , chosen so that  $U_i \cap U_j = \emptyset$  for  $i \neq j$ , and  $U_j \cap f(S^{n-1}) = \emptyset = U_j \cap f'(S^{n-1})$  for all  $j$ . For each such  $j$ , use Lemma 3.1 to obtain an element  $f_j^* \in \text{Hom}(S^{n-1}, S^n)$  such that  $f_j^*(S^{n-1}) \subset U_j$  and such that  $f_j^* \sim_{\mathcal{A}} f_j$ . An application of Lemma 3.2 yields  $f \sim_{\mathcal{A}} f_1^*$  and  $f_k^* \sim_{\mathcal{A}} f'$ . A double application of Lemma 3.2 yields  $f_j^* \sim_{\mathcal{A}} f_{j+1}^*$  for  $j = 1, \dots, k - 1$ . Thus

$$f = f_0 \sim_{\mathcal{A}} f_1^* \sim_{\mathcal{A}} \dots \sim_{\mathcal{A}} f_k^* \sim_{\mathcal{A}} f_{k+1} = f',$$

with all images disjoint and  $f_j^*(S^{n-1})$  small for  $j = 1, \dots, k$ . Since a small sphere cannot separate the remaining spheres, Lemma 3.3 may be applied several times to the interior of this chain until we end up with either  $f \sim_{\mathcal{A}} f'$ , or  $f \sim_{\mathcal{A}} f_1^* \sim_{\mathcal{A}} f'$ , or  $f \sim_{\mathcal{A}} f_1^* \sim_{\mathcal{A}} f_k^* \sim_{\mathcal{A}} f'$  or  $f \sim_{\mathcal{A}} f_1^* \sim_{\mathcal{A}} f_2^* \sim_{\mathcal{A}} f_k^* \sim_{\mathcal{A}} f'$ . Lemmas 3.2, 3.3 and 3.4 reduce the chains of length 4 and 5 to chains of length 2 or 3. A chain of length 3 between  $f$  and  $f'$  either reduces to a chain of length 2 by Lemma 3.2 or to the conclusion that  $f$  and  $f'$  have opposite orientations, hence in the present case, to a chain of length 2. Invariably, then, we end up with  $f \sim_{\mathcal{A}} f'$ , completing the argument for (i).

PROOF OF (ii). If  $f(S^{n-1}) = f'(S^{n-1})$  and  $f^{-1}f'$  is orientation preserving, let  $g$  be a locally flat embedding of  $S^{n-1}$  in one of the complementary domains of  $f(S^{n-1})$ , chosen so that  $g \sim_{\mathcal{A}} f$ , according to Lemma 3.1. Then  $g \sim_{\mathcal{A}} f \sim_{\mathcal{A}} f'$  implies  $g \sim_{\mathcal{A}} f'$ . But  $g$  and  $f'$  satisfy the conditions of (i), hence  $g \sim_{\mathcal{A}} f'$ .

If  $f(S^{n-1}) \neq f'(S^{n-1})$ , let  $x$  be a point of  $S^n$  which lies on  $f'(S^{n-1})$  but not on  $f(S^{n-1})$ . Let  $U$  be an open neighborhood of  $x$  in  $S^n$  which misses  $f(S^{n-1})$  and which is chosen, according to the local flatness of  $f'(S^{n-1})$ , so that the pair  $(U, U \cap f'(S^{n-1}))$  is homeomorphic to the pair  $(R^n, R^{n-1})$ . Orienting  $S^{n-1}$  induces orientations of  $f(S^{n-1})$  and  $f'(S^{n-1})$ , which in turn induce orientations on their complementary domains. Let  $U'$  be that component of  $U - f'(S^{n-1})$  whose orientation as induced by the orientation

of  $f'(S^{n-1})$  coincides with the orientation induced by the orientation of  $f(S^{n-1})$ . Now let  $g$  be an embedding of  $S^{n-1}$  in  $U'$  such that  $g \widetilde{\alpha} f$ , according to Lemma 3.1. Then  $g$  and  $f'$  have disjoint images and are similarly oriented. Furthermore  $g \widetilde{\alpha} f \widetilde{\alpha} f'$  implies  $g \widetilde{\alpha} f'$ . Then by (i),  $g \widetilde{\alpha} f'$ .

PROOF OF (iii). If  $f(S^{n-1}) = f'(S^{n-1})$  and  $f^{-1}f'$  is orientation reversing, let  $g$  be an embedding of  $S^{n-1}$  in one of the complementary domains of  $f(S^{n-1})$ , chosen so that  $f \widetilde{\alpha} g$ . Let  $g'$  be a small embedding of  $S^{n-1}$  in the region between  $f(S^{n-1})$  and  $g(S^{n-1})$ , chosen so that  $g \widetilde{\alpha} g'$ . Then  $f \widetilde{\alpha} g'$ , but  $f$  and  $g'$  have opposite orientations. Therefore  $f'$  and  $g'$  have similar orientations, and  $f' \widetilde{\alpha} g'$ . By (i),  $f' \widetilde{\alpha} g'$ , which proves (iii).

#### 4. Stable homeomorphisms

Let  $h$  be a homeomorphism of  $S^n$  onto itself. If there is a non-empty open set  $U \subset S^n$  such that  $h|U = 1$ , we will say that  $h$  is *somewhere the identity*. Then  $SH(S^n)$ , the group of *stable homeomorphisms* of  $S^n$ , will consist of products of homeomorphisms, each of which is somewhere the identity.

$SH(S^n)$  is the intersection of all non-trivial normal subgroups of  $H(S^n)$  and is, furthermore, simple [3]. Then  $SH(S^n)$  must be arcwise connected in the compact-open topology. Hence every stable homeomorphism is isotopic to the identity through stable homeomorphisms. If  $h \in H(S^n)$  agrees with  $h_0 \in SH(S^n)$  on the non-empty open set  $U$ , then  $h_0^{-1}h$  is the identity on  $U$ , and hence in  $SH(S^n)$ . Then also  $h \in SH(S^n)$ . Hence any homeomorphism of  $S^n$  which agrees with a stable homeomorphism on a non-empty open set is itself stable.

If  $S$  is a locally flat  $n - 1$  sphere in  $S^n$  and  $h$  is a homeomorphism of  $S^n$ , whose restriction to  $S$  is the identity and which does not interchange the complementary domains of  $S$ , then  $h$  is stable. For if the complementary domains of  $S$  in  $S^n$  are  $C$  and  $D$ , let  $h_1$  be the homeomorphism of  $S^n$  which is the identity on  $C$  and agrees with  $h$  on  $D$ . Then  $h = (hh_1^{-1})h_1$ , and  $h_1$  is the identity on  $C$  and  $hh_1^{-1}$  is the identity on  $D$ , from which it follows that  $h$  is stable.

The principal property of stable homeomorphisms is expressed in Theorem 7.1, from which it follows in the Corollary that any stable homeomorphism of  $S^n$  can be written as the product of just *two* homeomorphisms, each of which is somewhere the identity.

#### 5. Stable equivalence of embeddings of $S^{n-1}$ in $S^n$

Let  $f_1$  and  $f_2$  be elements of  $\text{Hom}(S^{n-1}, S^n)$ . Then by [1] and [2] there

is a homeomorphism  $h$  of  $S^n$  such that  $hf_1 = f_2$ , and if desired,  $h$  may be chosen to be orientation preserving. If there is an  $h \in SH(S^n)$  such that  $hf_1 = f_2$ , then we say that  $f_1$  and  $f_2$  are *stably equivalent* and write  $f_1 \sim_s f_2$ . Stable equivalence is an equivalence relation, and  $\text{Hom}(S^{n-1}, S^n)$  divides up into stable equivalence classes.

Let  $f_1 \sim_s f_2$ , and choose  $h_0 \in SH(S^n)$  so that  $h_0f_1 = f_2$ . If  $h \in H(S^n)$ , then  $hh_0h^{-1} \in SH(S^n)$  by normality. Then  $(hh_0h^{-1})hf_1 = hf_2$  yields  $hf_1 \sim_s hf_2$ . Thus  $H(S^n)$  acts on  $\text{Hom}(S^{n-1}, S^n)$  by permuting the stable equivalence classes.

**LEMMA 5.1.** *Let  $f_1$  and  $f_2$  be stably equivalent elements of  $\text{Hom}(S^{n-1}, S^n)$ , and  $h$  an orientation preserving homeomorphism of  $S^n$  such that  $hf_1 = f_2$ . Then  $h \in SH(S^n)$ .*

Choose  $h_0 \in SH(S^n)$  such that  $h_0f_1 = f_2$ . Then  $h_0^{-1}hf_1 = f_1$ . Hence  $h_0^{-1}h/f_1(S^{n-1})$  is the identity. Since  $h_0^{-1}h$  is orientation preserving, it does not interchange the complementary domains of  $f_1(S^{n-1})$ , and is therefore stable by § 4. Then  $h$  must also be stable.

**COROLLARY 1.** *If an element of  $H^+(S^n)$  leaves one stable equivalence class of  $\text{Hom}(S^{n-1}, S^n)$  fixed, it is an element of  $SH(S^n)$ , and therefore leaves all stable equivalence classes fixed.*

**COROLLARY 2.**  *$H^+(S^n)/SH(S^n)$  acts transitively and regularly on the stable equivalence classes of  $\text{Hom}(S^{n-1}, S^n)$ , and is therefore in one-one correspondence with the set of these stable equivalence classes.*

**THEOREM 5.2.** *Let  $f$  and  $f'$  be elements of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f \sim_{\mathcal{A}} f'$ . Then there is a stable homeomorphism  $h$  of  $S^n$  such that  $f = hf'$ .*

Let  $F: S^{n-1} \times [0, 1] \rightarrow S^n$  be a strict annular equivalence between  $f$  and  $f'$ . Since  $f(S^{n-1})$  and  $f'(S^{n-1})$  are locally flat,  $F$  may be extended to an embedding  $F^*: S^{n-1} \times [-1, 2] \rightarrow S^n$ . Let  $h$  be a homeomorphism of  $S^n$  which takes  $F^*(x, 1)$  onto  $F^*(x, 0)$  and which is the identity on  $S^n - \text{Image}(F^*)$ . Then  $h$  is stable and

$$hf'(x) = hF'(x, 1) = hF^*(x, 1) = F^*(x, 0) = F(x, 0) = f(x) .$$

**COROLLARY.** *If  $f, f' \in \text{Hom}(S^{n-1}, S^n)$  and  $f \sim_{\mathcal{A}} f'$ , then  $f \sim_s f'$ .*

**THEOREM 5.3.** *Let  $h$  be a stable homeomorphism of  $S^n$  whose restriction to the non-empty open set  $U$  is the identity. If  $f \in \text{Hom}(S^{n-1}, S^n)$ , then  $f \sim_{\mathcal{A}} hf$ .*

Let  $f'$  be an element of  $\text{Hom}(S^{n-1}, S^n)$  such that  $f'(S^{n-1}) \subset U$  and  $f' \sim_{\mathcal{A}} f$ , according to Lemma 3.1. Then  $hf' \sim_{\mathcal{A}} hf$ . But  $hf' = f'$ . Therefore  $f \sim_{\mathcal{A}} f' = hf' \sim_{\mathcal{A}} hf$ . Hence  $f \sim_s hf$ .



**COROLLARY.** *If  $f, f' \in \text{Hom}(S^{n-1}, S^n)$  and  $f \sim_s f'$ , then  $f \sim_a f'$ .*

Choose a stable homeomorphism  $h$  such that  $f' = hf$ . Write  $h$  as a product  $h_k h_{k-1} \cdots h_2 h_1$  of stable homeomorphisms each of whose restriction to some (variable) non-empty open set is the identity. Then by Theorem 5.3,

$$f \sim_a h_1 f \sim_a h_2 h_1 f \sim_a \cdots \sim_a h_k h_{k-1} \cdots h_2 h_1 f = f',$$

hence  $f \sim_a f'$ .

Combining these two corollaries, we get

**THEOREM 5.4.** *Two elements of  $\text{Hom}(S^{n-1}, S^n)$  are stably equivalent if and only if they are annularly equivalent.*

We will generally prefer the name *stable equivalence* for this relation, except when specifically referring to the results of § 3.

### 6. Approximation of spheres

**THEOREM 6.1.** *Let  $F: S^{n-1} \times [0, 1] \rightarrow S^n$  be a (not necessarily locally flat) embedding, and  $g$  an arbitrary element of  $\text{Hom}(S^{n-1}, S^n)$ . Then there is an element  $g'$  of  $\text{Hom}(S^{n-1}, S^n)$  such that*

- (i)  $g'$  is stably equivalent to  $g$ ,
- (ii)  $g'(S^{n-1}) \subset F(S^{n-1} \times (0, 1))$  and separates  $F(S^{n-1} \times 0)$  from  $F(S^{n-1} \times 1)$ .

Using [1] and [2], first construct a stable homeomorphism  $h_1$  of  $S^n$  which is the identity in a neighborhood of  $F(S^{n-1} \times 1/2)$  and which shrinks  $F(S^{n-1} \times 0)$  and  $F(S^{n-1} \times 1)$  very small. Then let  $h_2$  be a stable homeomorphism which slides  $h_1 F(S^{n-1} \times 0)$  into one complementary domain of  $g(S^{n-1})$  and  $h_1 F(S^{n-1} \times 1)$  into the other. Finally let  $g' = h_1^{-1} h_2^{-1} g$ . Since  $h_1$  and  $h_2$  are stable,  $g'$  is stably equivalent to  $g$ . And since  $g(S^{n-1})$  separates  $h_2 h_1 F(S^{n-1} \times 0)$  from  $h_2 h_1 F(S^{n-1} \times 1)$ ,  $g'(S^{n-1})$  separates  $F(S^{n-1} \times 0)$  from  $F(S^{n-1} \times 1)$ .

**REMARK.** Note that we can arrange the ‘orientation’ of  $g'$  by sliding  $h_1 F(S^{n-1} \times 0)$  into the appropriate complementary domain of  $g(S^{n-1})$ . If  $g(S^{n-1})$  does not meet  $F(S^{n-1} \times (0, 1))$ , we can therefore arrange that  $g$  and  $g'$  have similar orientations. In such a case it would then follow from Theorem 3.5 that  $g \sim_a g'$ .

### 7. The structure of stable homeomorphisms

**THEOREM 7.1.** *Let  $h$  be a stable homeomorphism of  $S^n$  and  $E_1, E_2$  closed  $n$ -cells with locally flat boundaries  $S_1, S_2$ . If  $E_1 \cup hE_1$  is disjoint from  $E_2$ , then there is a stable homeomorphism  $h'$  of  $S^n$  which agrees with  $h$  on  $E_1$  and whose restriction to  $E_2$  is the identity.*

Let  $f$  be any homeomorphism of  $S^{n-1}$  onto  $S_1$ . Use Theorem 6.1 to find

an element  $f'$  of  $\text{Hom}(S^{n-1}, S^n)$  which is stably equivalent to  $f$  and whose image separates  $E_1 \cup hE_1$  from  $E_2$ . Use the Remark following Theorem 6.1 to insure that  $f$  and  $f'$  have similar orientations, so that  $f \sim_{\lambda} f'$ . Let  $E'_2$  denote the closed  $n$ -cell bounded by  $f'(S^{n-1})$  which contains  $E_2$ . Since  $h$  is stable,  $f'$  is also stably equivalent to  $hf$ . Note that  $f'$  and  $hf$  have disjoint images and similar orientations. Then by Theorem 3.5,  $hf \sim_{\lambda} f'$ .

Now let  $F$  be a strict annular equivalence between  $f$  and  $f'$  and  $F^*$  a strict annular equivalence between  $hf$  and  $f'$ . Define a homeomorphism  $h'$  from  $F(S^{n-1} \times [0, 1])$  onto  $F^*(S^{n-1} \times [0, 1])$  by taking  $F(x, t)$  onto  $F^*(x, t)$ . Then  $h'/S_1$  takes  $F(x, 0)$  onto  $F^*(x, 0)$ , i.e., takes  $f(x)$  onto  $hf(x)$ , i.e., coincides with  $h$ . And  $h'/f'(S^{n-1})$  takes  $F(x, 1)$  onto  $F^*(x, 1)$ , i.e., takes  $f'(x)$  onto  $f'(x)$ , i.e., is the identity. Finally, extend  $h'$  over  $S^n$  by taking  $E_1$  onto  $hE_1$  by  $h$  and  $E'_2$  onto  $E_2$  by the identity. Then  $h'$  satisfies the conditions of the theorem.

**COROLLARY.** *Any stable homeomorphism of  $S^n$  can be written as the product of two homeomorphisms, each of which is somewhere the identity.*

For if  $h$  is a stable homeomorphism of  $S^n$ , one uses the above theorem to find another stable homeomorphism  $h'$  which agrees with  $h$  on a small  $n$ -cell  $E_1$  and restricts to the identity on some other  $n$ -cell  $E_2$ , and then writes  $h = h'(h^{-1}h)$ .

### 8. The suspension homomorphism

Let  $S$  be a locally flat  $n - 1$  sphere in  $S^n$ ,  $H(S)$  the group of homeomorphisms of  $S$  and  $g$  an element of  $H(S)$ . Let  $h$  be any extension of  $g$  over  $S^n$  which does not interchange the complementary domains of  $S$ . The extension  $h$  is certainly not unique, but if  $h'$  is any other extension, then  $h^{-1}h'$  is a homeomorphism of  $S^n$  which is the identity on  $S$ , and does not interchange the complementary domains of  $S$ . By § 4,  $h^{-1}h'$  must be stable. Thus the coset  $h \cdot SH(S^n)$  is uniquely determined by  $g$ . The correspondence  $g \rightarrow h \cdot SH(S^n)$  defines a homomorphism

$$\Sigma: H(S) \rightarrow H(S^n)/SH(S^n),$$

called the *suspension homomorphism*.

A coset of  $SH(S^n)$  is in the image of  $\Sigma$  if and only if that coset contains a homeomorphism which is invariant on  $S$  and does not interchange the complementary domains of  $S$ . Note however that  $SH(S^n)$  contains a homeomorphism which is invariant on  $S$  and *does* interchange the complementary domains of  $S$ . For if  $h$  is a homeomorphism of  $S^n$  which takes  $S$  onto  $S^{n-1}$ , and  $t$  a rigid deformation of  $S^n$  which interchanges the northern and southern hemispheres, then  $h^{-1}th$  is invariant on  $S$  and

interchanges the complementary domains of  $S$ . One easily sees that  $t$  is stable, therefore  $h^{-1}th$  is also stable. Hence a coset of  $SH(S^n)$  is in the image of  $\Sigma$  if and only if that coset contains a homeomorphism of  $S^n$  which is invariant on  $S$ . Letting  $H(S^n, S)$  denote the group of homeomorphisms of  $S^n$  which are invariant on  $S$ , we have

**THEOREM 8.1.** *The image of  $\Sigma$  is  $(H(S^n, S) \cdot SH(S^n))/SH(S^n)$ .*

**DEFINITION 8.2.** The homeomorphism  $f$  of a space  $X$  onto itself is said to be *weakly isotopic* to the homeomorphism  $f'$  of  $X$  if there is a homeomorphism  $F: X \times [0, 1] \rightarrow X \times [0, 1]$  such that  $F(x, 0) = (f(x), 0)$  and  $F(x, 1) = (f'(x), 1)$ .

$HI(S)$  will denote the normal subgroup of  $H(S)$  consisting of those homeomorphisms weakly isotopic to the identity.

**THEOREM 8.3.** *The kernel of  $\Sigma$  is  $HI(S)$ .*

Suppose first that  $g$  is a homeomorphism of  $S$  which is weakly isotopic to the identity. Let  $G$  be a homeomorphism of  $S \times [0, 1]$  onto itself such that  $G(x, 0) = (g(x), 0)$  and  $G(x, 1) = (x, 1)$ . Let  $F: S \times [0, 1] \rightarrow S^n$  be a locally flat embedding such that  $F(x, 0) = x$ . Define the homeomorphism  $h$  of  $F(S \times [0, 1])$  onto itself by  $h = FGF^{-1}$ . Then  $h/S = g$  and  $h/F(S \times 1)$  is the identity. Extend  $h$  to a homeomorphism of  $S^n$  which is the identity on one of the complementary domains of  $F(S \times 1)$ . Then  $h$  is stable, hence  $g$  is in the kernel of  $\Sigma$ .

Now let  $g$  be in the kernel of  $\Sigma$  and let  $E_1$  and  $E'_1$  be the closed  $n$ -cells in  $S^n$  bounded by  $S$ . Let  $F: S \times [0, 1] \rightarrow S^n$  be a locally flat embedding such that  $F(x, 0) = x$  and  $F(S \times 1) \subset E'_1$ . Let  $E_2$  be the closed  $n$ -cell bounded by  $F(S \times 1)$  and contained in  $E'_1$ . Let  $h$  be an extension of  $g$  over  $S^n$  which does not interchange  $E_1$  and  $E'_1$ . Since  $g$  is in the kernel of  $\Sigma$ ,  $h$  must be stable. Apply Theorem 7.1 to  $h$ ,  $E_1$  and  $E_2$  to obtain a stable homeomorphism  $h'$  which agrees with  $h$  on  $E_1$  and the identity on  $E_2$ . Then  $h'F(S \times [0, 1]) = F(S \times [0, 1])$  and  $F^{-1}h'F: S \times [0, 1] \rightarrow S \times [0, 1]$  is a weak isotopy of  $g$  with the identity.

**THEOREM 8.4.** *The suspension homomorphism  $\Sigma$  induces an isomorphism*

$$(H(S))/(HI(S)) \approx (H(S^n, S) \cdot SH(S^n))/(SH(S^n)),$$

where  $S$  is a locally flat  $n - 1$  sphere in  $S^n$ .

It will be seen later that  $HI(S^3) = H^+(S^3)$ , from which we obtain

**THEOREM 8.5.**  *$(H(S^4, S) \cdot SH(S^4))/(SH(S^4)) \approx Z_2$ , where  $S$  is a locally flat 3-sphere in  $S^4$ . In particular, if  $h$  is an orientation preserving*

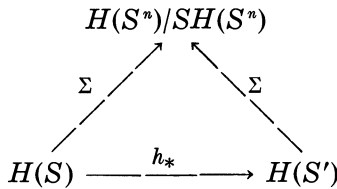
homeomorphism of  $S^4$  which is invariant on some locally flat 3-sphere in  $S^4$ , then  $h$  is stable.

DEFINITION 8.6. The locally flat  $n - 1$  spheres  $S$  and  $S'$  in  $S^n$  will be called *stably equivalent* if there is a stable homeomorphism  $h$  of  $S^n$  such that  $h(S) = S'$ . Note that  $h$  induces an isomorphism  $h_*: H(S) \rightarrow H(S')$  defined by  $h_*(g) = hgh^{-1}/S'$ .

THEOREM 8.7. Under the conditions of the above definition,

$$H(S^n, S) \cdot SH(S^n) = H(S^n, S') \cdot SH(S^n) ,$$

and the following diagram is commutative.



Let  $g$  be a homeomorphism of  $S$  and  $\bar{g}$  an extension of  $g$  over  $S^n$  which does not interchange the complementary domains of  $S$ . Then  $h\bar{g}h^{-1}$  is an extension of  $h_*(g)$  over  $S^n$  which does not interchange the complementary domains of  $S'$ . Then

$$\Sigma(h_*(g)) = (h\bar{g}h^{-1}) \cdot SH(S^n) = \bar{g} \cdot SH(S^n) = \Sigma(h) ,$$

since  $SH(S^n)$  is normal in  $H(S^n)$ . The diagram is therefore commutative, from which it follows that  $H(S^n, S) \cdot SH(S^n) = H(S^n, S') \cdot SH(S^n)$ .

### 9. The relation between stable homeomorphisms and the annulus conjecture

By the *annulus conjecture in dimension  $n$* , we mean the following statement, denoted by  $A_n$ .

$A_n$ . If  $S_1$  and  $S_2$  are disjoint locally flat  $n - 1$  spheres in  $S^n$ , then the closure of the region between them is homeomorphic to  $S^{n-1} \times [0, 1]$ .

LEMMA 9.1.  $A_n$  is true if and only if any two (not necessarily disjoint) locally flat  $n - 1$  spheres in  $S^n$  are stably equivalent.

If  $A_n$  is true and  $S_1$  and  $S_2$  are locally flat  $n - 1$  spheres in  $S^n$ , let  $h_1$  be a stable homeomorphism such that  $h_1(S_1)$  is disjoint from  $S_2$ . Applying  $A_n$  to  $h_1(S_1)$  and  $S_2$ , one easily constructs a stable homeomorphism  $h_2$  such that  $h_2h_1(S_1) = S_2$ . Hence  $S_1$  and  $S_2$  are stably equivalent.

If  $S_1$  and  $S_2$  are disjoint locally flat  $n - 1$  spheres in  $S^n$  which are stably equivalent, let  $h$  be a stable homeomorphism such that  $h(S_1) = S_2$ . Let  $f$

be a homeomorphism of  $S^{n-1}$  onto  $S_1$ , and compare the elements  $f$  and  $hf$  of  $\text{Hom}(S^{n-1}, S^n)$ . They are stably equivalent and have disjoint images, but may or may not have similar orientations. If they have opposite orientations, let  $h'$  be a stable homeomorphism of  $S^n$  which is invariant on  $S_2$  and which interchanges the complementary domains of  $S_2$  (see § 8), and compare  $f$  with  $h'hf$  instead of with  $hf$ . In either case we obtain elements  $f_1$  and  $f_2$  of  $\text{Hom}(S^{n-1}, S^n)$  which are stably equivalent, have disjoint images  $S_1$  and  $S_2$  and have similar orientations. By Theorem 3.5,  $f_1 \sim_A f_2$ , hence the closed region between  $S_1$  and  $S_2$  must be homeomorphic to  $S^{n-1} \times [0, 1]$ .

**THEOREM 9.2.**  *$A_n$  is true if and only if  $H(S^n) = H(S^n, S^{n-1}) \cdot SH(S^n)$ .*

If  $A_n$  is true and  $h \in H(S^n)$ , then  $S^{n-1}$  and  $h^{-1}(S^{n-1})$  must be stably equivalent by Lemma 9.1. Let  $h_0$  be a stable homeomorphism which carries  $S^{n-1}$  onto  $h^{-1}(S^{n-1})$ . Then writing  $h = (hh_0)h_0^{-1}$  yields  $H(S^n) = H(S^n, S^{n-1}) \cdot SH(S^n)$ .

If  $H(S^n) = H(S^n, S^{n-1}) \cdot SH(S^n)$  and  $S_1$  and  $S_2$  are two locally flat  $n - 1$  spheres, let  $g$  be a homeomorphism of  $S^n$  such that  $g(S_1) = S^{n-1}$ . Let  $h$  be a homeomorphism of  $S^n$  such that  $h(S^{n-1}) = g(S_2)$ , and, using the normality of  $SH(S^n)$ , write  $h = h'h''$ , with  $h'$  stable and  $h''$  invariant on  $S^{n-1}$ . Then  $g(S_2) = h'h''(S^{n-1}) = h'(S^{n-1})$ , hence  $g(S_2)$  is stably equivalent to  $S^{n-1}$ . Then  $S_2$  is stably equivalent to  $g^{-1}(S^{n-1}) = S_1$ , hence by Lemma 9.1,  $A_n$  is true.

**REMARK.** Clearly Theorem 9.2 remains valid if we replace  $S^{n-1}$  by any locally flat  $n - 1$  sphere  $S$  in  $S^n$ . Then it follows from Theorem 8.1 that  $A_n$  is true if and only if the suspension homomorphism  $\Sigma: H(S) \rightarrow H(S^n)/SH(S^n)$  is onto for every locally flat  $n - 1$  sphere  $S$  in  $S^n$ .

**THEOREM 9.3.**  *$SH(S^n) = H^+(S^n)$  if and only if  $A_n$  is true and  $HI(S^{n-1}) = H^+(S^{n-1})$ .*

If  $SH(S^n) = H^+(S^n)$ , then

$$H(S^n) = H(S^n, S^{n-1}) \cdot H^+(S^n) = H(S^n, S^{n-1}) \cdot SH(S^n),$$

so by Theorem 9.2,  $A_n$  is true.

If  $SH(S^n) = H^+(S^n)$ , then  $H(S^{n-1})/HI(S^{n-1}) \approx Z_2$  by Theorem 8.4. But  $HI(S^{n-1}) \subset H^+(S^{n-1})$  and  $H(S^{n-1})/H^+(S^{n-1}) \approx Z_2$ . Therefore  $HI(S^{n-1}) = H^+(S^{n-1})$ .

If  $A_n$  is true, then  $H(S^n) = H(S^n, S^{n-1}) \cdot SH(S^n)$  by Theorem 9.2, and if  $HI(S^{n-1}) = H^+(S^{n-1})$ , then  $H(S^{n-1})/HI(S^{n-1}) \approx Z_2$ . Then by Theorem 8.4,  $H(S^n)/SH(S^n) \approx Z_2$ . Hence, as above,  $SH(S^n) = H^+(S^n)$ .

**THEOREM 9.4.**  *$A_k$  is true for  $k \leq n$  if and only if  $SH(S^k) = H^+(S^k)$  for  $k \leq n$ .*

If  $A_k$  is true and  $SH(S^{k-1}) = H^+(S^{k-1})$ , then also  $HI(S^{k-1}) = H^+(S^{k-1})$ , for  $SH(S^{k-1}) \subset HI(S^{k-1}) \subset H^+(S^{k-1})$ . From Theorem 9.3 it follows that  $SH(S^k) = H^+(S^k)$ . Hence, by induction, if  $A_k$  is true for  $k \leq n$ , then  $SH(S^k) = H^+(S^k)$  for  $k \leq n$ .

The other direction follows immediately from Theorem 9.3.

REMARKS. 1. In summary, the statement that  $SH(S^n) = H^+(S^n)$  is stronger than the statement that the annulus conjecture is true in dimension  $n$ , but the statement that  $SH(S^k) = H^+(S^k)$  for  $k \leq n$  is equivalent to the statement that the annulus conjecture is true in dimensions less than or equal to  $n$ .

2. It is shown in [5] that, if the closed region between two disjoint locally flat  $k - 1$  spheres in  $S^k$  can be triangulated for  $k \leq n$ , then  $A_k$  is true for  $k \leq n$ . Then by [6] and [7],  $A_k$  is true for  $k \leq 3$ . Hence  $SH(S^k) = H^+(S^k)$  for  $k \leq 3$ .

### 10. Extension of homeomorphisms

THEOREM 10.1. *Let  $S_1, \dots, S_k$  be disjoint locally flat  $n - 1$  spheres in  $S^n$ , and  $g_i$  a homeomorphism of  $S_i$  onto itself for  $i = 1, \dots, k$ . Then a necessary and sufficient condition for the existence of a homeomorphism  $h$  of  $S^n$  onto itself such that  $h|_{S_i} = g_i$  is that  $\Sigma(g_1) = \dots = \Sigma(g_k) \in H(S^n)/SH(S^n)$ .*

If  $k = 1$ , the condition is vacuous and hence necessary. If  $k > 1$ , and such a homeomorphism  $h$  exists, then  $h$  cannot interchange the complementary domains of any  $S_i$ . Hence for each  $i$ ,  $h$  lies in the coset  $\Sigma(g_i)$ , and the condition is therefore necessary.

If  $k = 1$ ,  $h$  always exists by [1] and [2], and may be chosen so as not to interchange the complementary domains of  $S_1$ . Suppose then that  $k > 1$  and that the theorem has already been proved for smaller values of  $k$ . Number the spheres so that  $S_k$  is an innermost sphere, i.e., so that one of its complementary domains contains none of the  $S_i$ . Let  $h'$  be a homeomorphism of  $S^n$  which extends  $g_i$  for  $i = 1, \dots, k - 1$ . If  $k > 2$ , then  $h'$  cannot interchange the complementary domains of any  $S_i$ ; and if  $k = 2$ , we have remarked above that  $h'$  can be so chosen. Let  $E_k$  be the closed  $n$ -cell bounded by  $S_k$  which contains none of the other spheres. Then  $E_k$  and  $h'(E_k)$  lie in the same component of  $S^n - (S_1 \cup \dots \cup S_{k-1})$ . Let  $h''$  be a stable homeomorphism of  $S^n$  which shrinks  $h'(E_k)$  and slides it inside the interior of  $E_k$ , and which is the identity in a neighborhood of  $S_1 \cup \dots \cup S_{k-1}$ .

Let  $f$  be any homeomorphism of  $S^{n-1}$  onto  $S_k$ . Then  $g_k f$  and  $h'' h' f$  are elements of  $\text{Hom}(S^{n-1}, S^n)$ . If  $\bar{g}_k$  is any extension of  $g_k$  to a homeo-

morphism of  $S^n$  which does not interchange the complementary domains of  $S_k$ , then  $\bar{g}_k$  lies in the coset  $\Sigma(g_k)$  of  $SH(S^n)$ . So does  $h'$ , since all the  $\Sigma(g_i)$  are equal. Since  $h''$  is stable, so does  $h''h'$ . Then  $h''h'\bar{g}_k^{-1}$  must be a stable homeomorphism, hence the embeddings  $g_k f = \bar{g}_k f$  and  $h''h'f$  are stably equivalent. Since their images are disjoint and  $h''h'(E_k)$  lies inside the interior of  $\bar{g}_k(E_k) = E_k$ ,  $g_k f$  and  $h''h'f$  have similar orientations. Then by Theorem 3.5,  $g_k f \sim h''h'f$ . If  $F: S^{n-1} \times [0, 1] \rightarrow S^n$  is such a strict annular equivalence, extend  $F$  to an embedding of  $S^{n-1} \times [-1, 2]$  in  $S^n$ , whose image contains none of the  $n - 1$  spheres other than  $S_k$ . Using this embedding, define a homeomorphism  $h'''$  of  $S^n$  which takes  $F(x, 1)$  onto  $F(x, 0)$  and is the identity outside the image of  $S^{n-1} \times [-1, 2]$ .

Then  $h = h'''h''h'$  satisfies the requirements of the theorem. For if  $y \in S_k$ , write  $y = f(x)$ ,  $x \in S^{n-1}$ . Then

$$h(y) = h'''(h''h'f(x)) = h'''(F(x, 1)) = F(x, 0) = g_k f(x) = g_k(y) .$$

If  $i < k$ , then  $h/S_i = h'/S_i = g_i$ , and the proof is completed.

**THEOREM 10.2.** *Let  $S_1, \dots, S_k$  be disjoint locally flat  $n - 1$  spheres in  $S^n$  which are stably equivalent to one another. If  $g$  is a homeomorphism of  $S_1$  onto itself, then there is a homeomorphism  $h$  of  $S^n$  such that  $h/S_1 = g$  and  $h(S_i) = S_i$  for  $i = 2, \dots, k$ .*

By Theorem 8.7,  $\Sigma(H(S_1)) = \dots = \Sigma(H(S_k))$ . Hence there are homeomorphisms  $g_i$  of  $S_i$ ,  $i = 2, \dots, k$ , such that  $\Sigma(g_i) = \Sigma(g)$ . Then apply Theorem 10.1.

**THEOREM 10.3.** *Let  $S_1, \dots, S_k$  be disjoint locally flat  $n - 1$  spheres in  $S^n$  which are stably equivalent to one another. Suppose that none of the spheres separates the remaining spheres, and let  $R$  be the closed region of  $S^n$  bounded by all of the spheres. Then the topological type of  $R$  is independent of the particular choice of the  $S_i$ , and is referred to as a sphere with  $k$  holes.*

By a round  $n - 1$  sphere in  $S^n$ , we mean an  $n - 1$  sphere which is the intersection of  $S^n$  with an  $n$ -dimensional hyperplane in  $R^{n+1}$ . We may assume, by [1] and [2], that  $S_1$  is round and that  $S_2, \dots, S_k$  are very small. Surround  $S_2, \dots, S_k$  by round spheres  $S'_2, \dots, S'_k$  in the obvious way, and let  $R'$  denote the closed region bounded by  $S_1, S'_2, \dots, S'_k$ . The topological type of  $R'$  is clearly independent of the particular choice of round spheres. Since  $S_1$  is round,  $S_i$  is stably equivalent to round spheres and hence to  $S'_i$ . Then by Lemma 9.1 and Theorem 3.5, the closed region between  $S_i$  and  $S'_i$  is homeomorphic to  $S^{n-1} \times [0, 1]$ . Hence  $R$  is obtained from  $R'$  by adding  $k - 1$  rims, each homeomorphic to  $S^{n-1} \times [0, 1]$ , which does not change the topological type of  $R'$ .

**COROLLARY.** *Let  $S_1, \dots, S_k$  be disjoint locally flat  $n - 1$  spheres in  $S^n$  which are stably equivalent to one another. Then there is a homeomorphism  $h$  of  $S^n$  such that  $h(S_i)$  is round for each  $i$ .*

**THEOREM 10.4.** *Let  $f_1, \dots, f_k$  be homeomorphisms of  $S^n$  and  $x_1, \dots, x_k$  distinct points of  $S^n$  chosen so that  $f_1(x_1), \dots, f_k(x_k)$  are also distinct. Then a necessary and sufficient condition for the existence of a homeomorphism  $h$  of  $S^n$  which agrees with each  $f_i$  on a neighborhood of  $x_i$  is that  $f_1, \dots, f_k$  should all lie in the same coset of  $SH(S^n)$  in  $H(S^n)$ .*

If such an  $h$  exists, then since  $f_i$  and  $h$  agree on an open set, they must lie in the same coset of  $SH(S^n)$ , so the condition is necessary.

Let  $E_i$  be a closed  $n$ -cell with round boundary  $S_i$ , which contains  $x_i$  in its interior, and which is chosen so small that not only are all the  $E_i$  disjoint but also all the  $f_i(E_i)$  are disjoint. If  $h_{ij}$  is a stable homeomorphism taking  $S_i$  onto  $S_j$ , then, since  $f_i$  and  $f_j$  lie in the same coset of  $SH(S^n)$ ,  $f_j h_{ij} f_i^{-1}$  is a stable homeomorphism taking  $f_i(S_i)$  onto  $f_j(S_j)$ . Hence the  $f_i(S_i)$  are stably equivalent to one another and so, by Theorem 10.3, there is a homeomorphism  $h'$  of  $S^n$  which takes  $S_i$  onto  $f_i(S_i)$  for each  $i$ . Then  $h'^{-1}f_i$  is invariant on  $E_i$  for each  $i$ , and the several  $h'^{-1}f_i$  all lie in the same coset of  $SH(S^n)$ . By Theorem 10.1 there is a homeomorphism  $h''$  of  $S^n$  which agrees with  $h'^{-1}f_i$  on  $S_i$  for each  $i$ . Then  $h''$  may obviously be taken to agree with  $h'^{-1}f_i$  on  $E_i$  for each  $i$ . Hence  $h = h'h''$  agrees with  $f_i$  on  $E_i$  for each  $i$ , proving the theorem.

## 11. Stability of differentiable and piecewise linear homeomorphisms

**THEOREM 11.1.** *Let  $\Sigma^n$  be a differentiable manifold whose underlying space is  $S^n$ , and  $h$  an orientation preserving diffeomorphism of  $\Sigma^n$  onto itself. Then  $h$  is stable.*

Let  $E$  be a small differentiable closed  $n$ -cell in  $\Sigma^n$ . Then the inclusion  $E \subset \Sigma^n$  and the map  $h|_E: E \rightarrow \Sigma^n$  are orientation preserving differentiable embeddings of  $E$  in  $\Sigma^n$ . Since  $E$  and hence  $h(E)$  are small, let  $U$  be a proper open subset of  $\Sigma^n$  containing both  $E$  and  $h(E)$ . Then  $U$  inherits a differentiable structure from  $\Sigma^n$ . By [8], the two embeddings of  $E$  in  $U$  are equivalent in the sense that there is a diffeomorphism  $h'$  of  $U$ , which is the identity outside a compact set, such that  $h'|_E = h|_E$ . Then  $h'$  extends, *via* the identity, to a stable homeomorphism of  $\Sigma^n$ . Since  $h'$  and  $h$  agree on  $E$ ,  $h$  must also be stable.

The same argument in piecewise linear topology, using [9] and [10] instead of [8], proves

**THEOREM 11.2.** *Let  $\Sigma^n$  be a combinatorial manifold whose underlying*



space is  $S^n$ , and  $h$  an orientation preserving piecewise linear homeomorphism of  $\Sigma^n$  onto itself. Then  $h$  is stable.

## 12. Homeomorphisms of euclidean space

Let  $z$  denote the north pole of  $S^n$  and  $p: S^n - z \rightarrow R^n$  the stereographic projection of  $S^n - z \subset R^{n+1}$  onto  $R^n \subset R^{n+1}$ . Then to each homeomorphism  $h$  of  $(S^n, z)$  there corresponds the homeomorphism  $p(h/S^n - z)p^{-1}$  of  $R^n$ . This correspondence defines an isomorphism

$$p^*: H(S^n, z) \rightarrow H(R^n)$$

between the group of homeomorphisms of  $S^n$  which leave the north pole fixed and the group of all homeomorphisms of  $R^n$ .

Let  $SH(R^n)$ , the group of stable homeomorphisms of  $R^n$ , be generated by homeomorphisms whose restriction to some variable non-empty open set is the identity. Then  $SH(R^n)$  is the image under  $p^*$  of  $SH(S^n, z)$ , the group of stable homeomorphisms of  $S^n$  which leave the north pole fixed.  $SH(R^n)$ , unlike  $SH(S^n)$ , is not simple. The smallest non-trivial normal subgroup of  $H(R^n)$  is  $SH_0(R^n)$ , the group of homeomorphisms which restrict to the identity outside some variable compact set.

**THEOREM 12.1.**  $(H(R^n))/(SH(R^n)) \approx (H(S^n))/(SH(S^n))$ .

For

$$\begin{aligned} (H(R^n))/(SH(R^n)) &\approx (H(S^n, z))/(SH(S^n, z)) \\ &\approx (H(S^n, z) \cdot SH(S^n))/SH(S^n) = (H(S^n))/(SH(S^n)), \end{aligned}$$

because  $SH(S^n)$  acts transitively on  $S^n$ .

The theorems of the preceding sections translate without difficulty to theorems about homeomorphisms of euclidean space. For the convenience of later reference, we restate Theorem 7.1 for  $R^n$ .

**THEOREM 12.2.** *Let  $h$  be a stable homeomorphism of  $R^n$ , and  $E$  a closed  $n$ -cell with locally flat boundary. Then there is a stable homeomorphism  $h'$  of  $R^n$  which agrees with  $h$  on  $E$  and which restricts to the identity outside some compact set.*

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